



10 671 019

Docket No. CEN 5014 USNP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : JING YANG ET AL

Serial No. :

Art Unit:

Filed : September 24, 2003

Examiner:

For : GROWTH ARREST SPECIFIC GENE 6 PEPTIDES,  
ANTIBODIES, COMPOSITIONS, METHODS & USES.

I hereby certify that this correspondence is being deposited with the  
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KENNETH J. DOW

(Name of applicant, assignee, or Registered Representative)

(Signature)

JANUARY 20, 2004

(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

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information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/CEN 5014 USNP/KD the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e)  
(attached); or
- ☐ Please charge Deposit Account No. 10-0750/CEN  
5014 USNP/KD the fee of \$180.00 as set forth in  
§1.17(p).

☐ In accordance with §1.97(d), this Information  
Disclosure Statement is being filed after the mailing date of  
either a Final Action under §1.113 or a Notice of Allowance  
under §1.311 but before the payment of the Issue Fee.  
Applicant(s) hereby petition(s) for consideration of this  
Information Disclosure Statement. Included are: Statement in  
Accordance with §1.97(e) as set forth below and the fee of  
\$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the  
attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-  
1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references  
[list as appropriate], and the likelihood that  
these references are available to the Examiner,  
copies are not enclosed herewith.

☐ If any of the foregoing publications are not  
available to the Examiner, Applicant will  
endeavor to supply copies at the Examiner's  
request.

☐ Copies of only foreign patent documents and non-  
patent literature are enclosed in accordance with 37 CFR 1.98  
(a)(2). (The U.S. patents and each U.S. patent application  
publication listed on the attached Form PTO-1449 are not

enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☐ There are no listed references which are not in the English language.

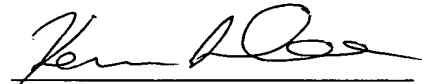
☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CEN 5014 USNP/KD. This form is submitted in triplicate.

Respectfully submitted,



KENNETH J. DOW  
Reg. No. 32,890  
Attorney for Applicants

Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003  
(610) 651-7422  
DATED: JANUARY 20, 2004



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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Application Number****Filing Date**

SEPTEMBER 24, 2003

**First Named Inventor**

JING YANG

**Group Art Unit****Examiner Name****Attorney Docket Number**

CEN 5014 USNP

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		US 5,538,861		Schneider et al	07-23-1996	
		US 5,585,269		Earp, III et al	12-17-1996	
		US 5,955,420		Chen et al	09-21-1999	
		US 6,033,660		Mather et al	03-07-2000	
		US 6,169,070	B1	Chen et al	01-02-2001	
		US 6,211,142	B1	Hammonds et al	04-03-2001	
		US 6,255,068	B1	Godowski et al	07-03-2001	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
		WO	99/49894	A1		10-07-1999		
		WO	00/53754	A1		09-14-2000		
		WO	00/76309	A3		12-21-2000		
		WO	01/78778	A1		10-25-2001		

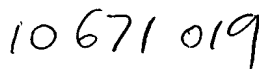
Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

<b>Application Number</b>	
<b>Filing Date</b>	SEPTEMBER 24, 2003
<b>First Named Inventor</b>	JING YANG
<b>Group Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	CEN 5014 USNP

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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